

ANNEX B

**GALILEO/MAGIQUE
SELF CERTIFICATION STATEMENT
11 December 2009**

AUTHORITY: 13110 - CYC

Compliance with Risk Management and Internal Control Policy

I formally acknowledge that I am responsible for establishing effective risk management and control within the Business Unit specified above. Risk management and internal control are defined as all those procedures and processes established to ensure business objectives are achieved in a cost effective fashion; and control is said to be effective if it addresses the risks identified in the risk assessment as being significant enough to require control. Controls can provide only reasonable and not absolute assurance that all the risks identified are adequately managed.

An analysis of risk has been carried out by the directors and senior managers. This has identified the business risks most important to the Business Unit specified above, considered the financial implications and likelihood of their occurrence, and assessed the effectiveness of their management. The most significant of the risks identified are set out in the attached report together with quantification of the risk, the controls established to manage that risk, and the means whereby I am assured that those controls operate effectively.

I am satisfied that internal controls have been effective, as defined above, during the period since the last self certification until the date below and that there have been no breakdowns or weaknesses that have or could have given rise to material losses.



Geoff Derham

Head of Waste Services

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Ref	Risk Description	Gross Risk	Net Risk	Control / Action	Ref	Control / Action Description	Rating	Target Date	Responsible
0005	Waste Strategy incomplete/inaccurate/not implemented	High	Medium						
				Control	01	Waste Strategy 2010	No Yes		Geoff Derham
				Action	704	Waste Strategy 2010	Medium	16/03/2010	Geoff Derham
0535	Failure to collect waste	Critical	High						
				Control	01	Access to national hire fleets	No Yes		Geoff Derham
				Control	02	Secure depot	No Yes		Geoff Derham
				Control	03	Onsite maintenance and support	No Yes		Geoff Derham
				Control	04	Fuel stocks	No Yes		Geoff Derham
				Control	05	Two contracts for fuel	No Yes		Geoff Derham
				Control	06	Vehicle prioritisation for maintenance	No Yes		Geoff Derham
				Control	07	Sickness management	No Yes		Geoff Derham
				Control	08	Consultation over pay review	No Yes		Geoff Derham
				Control	09	Transfer of risk into contracts	No Yes		Geoff Derham
				Control	10	Waste strategy	Yes Yes		Geoff Derham
				Control	11	Training	No Yes		Geoff derham
				Control	12	Incident reporting	No Yes		Geoff Derham
				Control	13	Protective clothing	No Yes		Geoff Derham
				Control	14	Contingency resources	No No		Geoff Derham
0834	Failure to meet 2008/09 landfill diversion target	High	High						
				Control	01	Performance monitoring. Carry forward surplus available.	No Yes		Geoff Derham

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0835	Failure to meet NPIs and efficiency savings	Med	Low						
				Control	01	Implementation of proposed service improvements	No Yes		Geoff Derham
0836	Failure to identify future landfill diversion facilities	Critical	High						
				Control	01	Progress implementation of Y&NY PFI procurement	No Yes		J Goodyear
1734	Increase carbon footprint of new West of York Recycling site	Medium	Medium						